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TRANSMITTAL FORM

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Total Number of Pages in This Submission

1

Application Number

09/448,223

Filing Date

November 23, 1999

First Named Inventor

Steven Dardinski

Art Unit

2759

Examiner Name

Not Yet Assigned

Attorney Docket Number

102314-0054

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Technology Center 2100

ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication
to Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please
identify below):

Information Disclosure Statement
by Applicant (w/references
attached)

Return Postcard (in duplicate)

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

NUTTER MCCLENNEN & FISH LLP

David J. Powsner

Signature

Date

12/16/03

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(David J. Powsner)

Docket No.: 102314-0054
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Patent Application of:
Steven Dardinski, et al.

Application No.: 09/448,223

Confirmation No.: Technology Center 2100

Filed: November 23, 1999

Art Unit: 2759

For: PROCESS CONTROL CONFIGURATION
SYSTEM WITH CONNECTION
VALIDATION AND CONFIGURATION

Examiner: Not Yet Assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 56 and 97 et seq, the Applicants cite the information on the accompanying modified form PTO 1449. The Applicants also enclose copies of the cited publications.

This Disclosure Statement is being filed under Rule 97(b), that is, within three months of the filing date of a national application (or entry of the national phase in the case of an international application) or before the mailing date of a first Office Action, whichever event occurs last.

The publications cited herein are considered relevant insofar as they were cited by the Examiner in co-pending, commonly assigned U.S. patent application serial number 09/448,374, filed November 23, 1999, entitled "Process Control Configuration System With Parameterized Object."

The filing of this Statement shall not be construed as a representation that a search has been conducted, nor as an admission that the information cited herein is (or is considered to be) material to patentability, nor that the publication or information cited herein is prior art.

The Applicants understand no fees, apart from those referred to above, if any, are necessary in order to insure consideration of the information cited herein. If the Applicants understanding in this regard is incorrect, please charge any such fees to Deposit Order Account 141449.

Dated: 12/16/03

Respectfully submitted,

By 

David J. Powsner

Registration No.: 31,868

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/448,223
				Filing Date	November 23, 1999
				First Named Inventor	Steven Dardinski
				Art Unit	2759
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	102314-0054

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US-5,940,294	09-17-1999	Dove, Andrew P.	
	AB	US-6,195,591	02-27-2001	Nixon, et al.	
	AC	US-6,445,962	09-03-2002	Blevins, et al.	
	AD	US-6,442,442	08-27-2002	Weinhofer, Juergen K.	
	AE	US-5,568,378	10-22-1996	Wojcisz, Wilhelm K.	
	AF	US-6,405,099	06-11-2002	Nagai, et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	ControlShell version 5.1, User's Manual, Real-Time Innovations, June 1996, Whole manual	

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